Surface vibrational modes of the topological insulator Bi₂Se₃ observed by Raman spectroscopy

H.-H. Kung,^{1,*} M. Salehi,^{1,2} I. Boulares,³ A. F. Kemper,⁴ N. Koirala,¹ M. Brahlek,¹

P. Lošťák,⁵ C. Uher,³ R. Merlin,³ X. Wang,^{1,6} S.-W. Cheong,^{1,6} S. Oh,¹ and G. Blumberg^{1,7,†}

¹Department of Physics & Astronomy, Rutgers University, Piscataway, NJ 08854, USA

²Department of Materials Science and Engineering,

Rutgers University, Piscataway, NJ 08854, USA

³Department of Physics, University of Michigan, Ann Arbor, Michigan 48109-1040, USA

⁴Department of Physics, North Carolina State University, Raleigh, North Carolina 27695, USA

⁵Faculty of Chemical Technology, University of Pardubice,

Studentska 573, 53210 Pardubice, Czech Republic

⁶Rutgers Center for Emergent Materials, Rutgers University, Piscataway, NJ 08854, USA

⁷National Institute of Chemical Physics and Biophysics, 12618 Tallinn, Estonia

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We present polarization resolved Raman scattering study of surface vibration modes in the topological insulator Bi₂Se₃ single crystal and thin films. Besides the 4 Raman active bulk phonons, we observed 4 additional modes with much weaker intensity and slightly lower energy than the bulk counterparts. By symmetry analysis and comparison with theoretical calculation, we assigned these additional modes to out-of-plane surface phonons, where the frequency is slightly modified from the bulk phonon due to out-of-plane lattice distortion near the crystal surface. In particular, two of the surface modes at 60 and 173 cm^{-1} are associated with Raman active A_{1g} bulk phonon modes, the other two at 136 and 158 cm^{-1} are associated with infrared active bulk phonons with A_{2u} symmetry. The latter become Raman allowed due to restriction of crystalline symmetry from D_{3d} in the bulk to C_{3v} at the surface of Bi₂Se₃. The 158 cm^{-1} surface phonon mode show a Fano line shape, suggesting interaction with an electronic continuum at the crystal surface. In addition, we observed two weak features at 67 and 126 cm^{-1} likely corresponding to in-plane surface vibrational modes.

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I. INTRODUCTION

Topological insulators (TIs) are a new class of quantum matter characterized by linearly dispersed spin polarized gapless surface states within the bulk band gaps [1-8], which may lead to realization of novel phenomena and applications such as spintronics and quantum computing [8-17].

Despite the topological protection, the surface states 22 ²³ away from the Dirac point suffer from hexagonal warping effect, resulting in increased scattering rate at the TI 24 surface [18–20]. Among many possible scattering mech-25 anisms, electron-phonon interaction is especially impor-26 tant due to its direct impact on device applications at 27 finite temperature [21]. In particular, the energies and 28 symmetries of the surface vibrational modes are impor-29 tant for modeling the possible relaxation channels of the 30 surface state excitations. 31

Theoretical modeling of surface lattice dynamics was first developed by Lifshitz and Rosenzweig [22, 23], and later expended by various workers [24–27]. The basic idea is to consider the free surface as a perturbation of an infinite lattice, and therefore to derive the surface modes from the spectrum of bulk vibrations. However, it is often seperimentally challenging to distinguish surface signal $_{\rm 40}$ from the overwhelmingly stronger intensity contribution $_{\rm 40}$ of the bulk.

 Bi_2Se_3 is one of the most studied TI due to its rel-41 42 atively simply band structure, i.e., a single Dirac cone ⁴³ within the 0.3 eV bulk band gap, much larger than the ⁴⁴ thermal energy at the room temperature. Several papers ⁴⁵ have studied the surface vibration modes in Bi₂Se₃. Zhu ⁴⁶ and coworkers observed strong Kohn anomaly at about $_{47} 2k_F$ using helium atom scattering (HAS) [28], and de-48 duced the interaction between surface phonon and the ⁴⁹ Dirac electrons to be much stronger than the values pre-⁵⁰ viously reported by angle-resolved photoemission spec-⁵¹ troscopy (ARPES) measurements [19, 29–31], suggest-⁵² ing that the electron-phonon coupling on TI surface may ⁵³ be more complicated than anticipated. Time-resolved 54 ARPES study on single crystals reported the observa-⁵⁵ tion of one A_{1g} bulk phonon at about $74 \,\mathrm{cm}^{-1}$, and an ⁵⁶ additional mode with slightly lower energy, which was 57 interpreted as a surface phonon associated with the ob-58 served A_{1q} bulk phonon. However, alternative results ⁵⁹ have also been reported [31–34], suggesting the existence ⁶⁰ of multiple phononic decaying channels which may even ⁶¹ depend on details of sample preparation. Electron energy 62 loss spectroscopy (EELS) study has distinguish a weak $_{63}$ mode at about $160 \,\mathrm{cm}^{-1}$ in Bi₂Se₃, which was assigned to ⁶⁴ the surface vibration mode associated with an A_{1q} bulk ⁶⁵ phonon [35]. The Raman scattering work on bulk single ⁶⁶ crystal [36] and exfoliated nano-crystals reported several 67 additional features, and were attributed to infrared active 68 phonon modes becoming Raman active due to inversion

^{*} skung@physics.rutgers.edu

[†] girsh@physics.rutgers.edu

TABLE I. Samples measured in this study.

$\operatorname{Sample} \#$	Composition	Description	Growth
#2	$\mathrm{Bi}_2\mathrm{Se}_3$	$50\mathrm{QL}$ thin film	MBE
#8	$(\mathrm{Bi}_2\mathrm{Se}_3)_m(\mathrm{In}_2\mathrm{Se}_3)_n$	$50 \mathrm{nm}$ superlattice with $(m,n)=(5,5)$	MBE
#10	$(\mathrm{Bi}_2\mathrm{Se}_3)_m(\mathrm{In}_2\mathrm{Se}_3)_n$	$50 \mathrm{nm}$ superlattice with $(m,n)=(10,5)$	MBE
#13	$\mathrm{Bi}_{1.95}\mathrm{In}_{0.05}\mathrm{Se}_3$	single crystal with indium doping	Bridgman
#14	$\mathrm{Bi}_2\mathrm{Se}_3$	pristine single crystal	Bridgman
#A	$\mathrm{Bi}_{2}\mathrm{Se}_{3}$	pristine single crystal	Bridgman

⁶⁹ symmetry breaking at crystal surface [37, 38].

70 several distinct techniques, with slight discrepancies be- 113 the same as bulk. 71 tween the results and interpretations. Such discrepancy $_{114}$ 72 73 74 75 information. 76

77 78 79 80 81 pared to the bulk phonons (Fig. 1). Raman spectroscopy 82 is a conventional tool for studying phonon modes, and 83 has been used to study the surface lattice dynamics in 84 semiconductors for many years [39]. By comparing to the 85 results obtained by other techniques and calculations, we 86 assigned the observed additional features to surface vi-87 brational modes associated with the bulk phonons due 88 to out-of-plane lattice distortion near crystal surface. 89

This paper is organized as follows. In Sec. II, we in-90 troduce the experiments including sample preparations 91 and the Raman probe. In Sec. III, we present the low 92 temperature polarized Raman spectra of bulk and thin 93 film Bi₂Se₃ samples. Sec. IV discusses the symmetries 94 and microscopic views of the surface vibration modes. 95 Finally, we conclude our discussions in Sec. V.

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II. EXPERIMENTAL SETUP

98 given in Table I. The bulk single crystals were grown by ۵Q ¹⁰⁰ modified Bridgman method. The thin film samples were ¹⁴⁶ were done in a back-scattering geometry from a cold-101 102 103 after taking out of MBE chamber. 104

The superlattice thin films of $(Bi_2Se_3)_m(In_2Se_3)_n$ are ¹⁵¹ (Dilor XY) and imaged on a CCD camera. 105 grown along (0001) surface [40], where each primitive cell $_{152}$ 106 $_{107}$ consists of m QL Bi₂Se₃ and n QL In₂Se₃, with each QL $_{153}$ sponse of the spectrometer and CCD to obtain the Ra-108

¹¹¹ the penetration depth in Bi_2Se_3 [43]. Therefore, the scat-To date, different surface modes were measured by ¹¹² tering volume in the superlattice samples is practically

 Bi_2Se_3 has a rhombohedral crystal structure with the have caused confusion. It is therefore desirable to ob- $_{115} D_{3d}$ point group symmetry. The irreducible representaserve all surface vibration modes within one technique 116 tions and Raman selection rules are given in Table II. that provides both high energy resolution and symmetry 117 With 5 atoms in a primitive unit cell, there are a total ¹¹⁸ of 3 acoustic and 12 optical bulk phonon branches. At Here, we use high resolution polarization resolved Ra- 119 the Γ-point, the irreducible representations of the Raman man spectroscopy to measure the vibrational modes of $_{120}$ active phonons are $2A_{1g} + 2E_g$, and the infrared active both bulk and thin film Bi₂Se₃ samples. In addition to $_{121}$ phonons are $2A_{2u} + 2E_u$ [44, 45]. These bulk phonon the 4 Raman active bulk phonons, we observed 6 addi- 122 modes have been measured by Raman and infrared spectional modes with about 20 times weaker intensities com- 123 troscopy [36, 44-47], and the values reported in Ref. 36 ¹²⁴ and Ref. 47 are listed in Table III.

> 125 The crystal naturally cleaves along the (111) surface ¹²⁶ terminated at Se atoms, forming optically flat quintuple ¹²⁷ layers (QLs) weakly bonded by van der Waals force [44]. ¹²⁸ The surface QL has the symmorphic P6mm wallpaper ¹²⁹ group symmetry (two dimensional crystallographic point ¹³⁰ group C_{6v} [48–50]. Since the surface layer phonon modes ¹³¹ in Bi₂Se₃ are not perfectly localized and decay into the 132 bulk, it is more appropriate to analyze our experimen-¹³³ tal results within the layer group P3m1 (crystallographic ¹³⁴ point group C_{3v} , which is a subgroup containing common ¹³⁶ symmetry operators of D_{3d} and C_{6v} groups) [49].

All Raman scattering measurements are taken from *ab* 137 ¹³⁸ surfaces freshly cleaved or grown prior to measurements. $_{139}$ Sample #2–14 are measured in a quasi-backscattering ge-¹⁴⁰ ometry in a continuous He-flow optical cryostat. We use $_{^{141}}\lambda_L$ =532 nm solid state laser for excitation, where the ¹⁴² spot size is roughly 50 μm . The scattered light was ana-¹⁴³ lyzed and collected by a custom triple-grating spectrome-The list of Bi_2Se_3 samples measured in this study is ¹⁴⁴ ter equipped with a liquid nitrogen cooled CCD detector. $_{145}$ As for the data collected from sample #A, measurements epitaxially grown on Al₂O₃ (0001) substrates in a custom ¹⁴⁷ finger cryostat. An Argon ion laser and a home built designed molecular beam epitaxy (MBE) chamber [40, 148 Ti:Sapphire laser were used as sources, where the spot 41]. They were immediately transfered into a cryostat 149 sizes are roughly 35 and 55 μm , respectively. The scat-¹⁵⁰ tered light was collected using a triple stage spectrometer

All spectra shown were corrected for the spectral rebeing ~ 1 nm thick. Notice that the light penetration 154 man intensity $I_{\mu\nu}(\omega,T)$, which is related to the Ra-¹⁰⁹ depth in In₂Se₃ within energy range of current study is ¹⁵⁵ man response function $\chi''_{\mu\nu}(\omega,T)$ by the Bose factor ¹¹⁰ about 100 nm [42], which is about 10 times larger than ¹⁵⁶ $n(\omega,T)$: $I_{\mu\nu}(\omega,T) = [1 + n(\omega,T)]\chi''_{\mu\nu}(\omega,T)$. Here, μ



FIG. 1. (Color online) The Raman response function $\chi''(\omega)$ measured in the (a) RR and (b) RL scattering geometry at 13 K from various Bi₂Se₃ samples as described in Table I, plot in semi-log scale. The dashed lines label the observed phonon modes as tabulated in Table III. (a) The mode at 110 cm⁻¹ indicated by arrow is due to the phonon signal from α -In₂Se₃ layers [51]. The asterisks mark the phonon modes with A_{1g} and A_1 symmetries, appear in RL geometry due to indium atom diffusion. The instrumental resolution of 2.8 cm⁻¹ is shown.

 (ν) denotes the polarization of incident (scattered) pho-157 ton, ω is energy and T is temperature. The scatter-¹⁵⁹ ing geometries used in this experiment are denoted as $\mu\nu = RR, RL, XX$ and YX, which is short for $\overline{z}(\mu\nu)z$ in 160 Porto's notation. $\mathbf{R} = \mathbf{X} + i\mathbf{Y}$ and $\mathbf{L} = \mathbf{X} - i\mathbf{Y}$ denotes the 161 right- and left-circular polarizations, respectively, where 162 X (Y) denotes linear polarization parallel (perpendicular) 163 to the plane of incidence. The irreducible representations 164 of the D_{3d} and C_{3v} groups corresponding to these scat-165 tering geometries are listed in Table II. 166

¹⁶⁷ Notice that in both the D_{3d} and C_{3v} groups, the ¹⁶⁸ phonon intensities do not depend on the orientation of ¹⁶⁹ the crystallographic axis. The notations X and Y have ¹⁷⁰ no reference to the crystallographic a and b axes. The ¹⁷¹ degree of polarization leakage from optical elements are ¹⁷² determined from the $A_{1g}^{(1)}$ and $A_{1g}^{(2)}$ bulk phonons of sin-¹⁷³ gle crystal samples at room temperature, and was used ¹⁷⁶ to remove polarization leakage in all presented data.

TABLE II. The Raman selection rules in the bulk and on the surface of Bi₂Se₃. Notice that upon the restriction of symmetry from point group D_{3d} to C_{3v} , the A_{1g} and A_{2u} irreducible representations merge into A_1 , A_{2g} and A_{1u} merge into A_2 , E_g and E_u merge into E. [52]

Scattering	Bulk	Surface
geometry	(D_{3d})	(C_{3v})
RR	$A_{1g} + A_{2g}$	$A_1 + A_2$
RL	$2E_g$	2E
XX	$A_{1g} + E_g$	$A_1 + E$
YX	$A_{2g} + E_g$	$A_2 + E$

III. RESULTS

Figure 1 shows the Raman response function $\chi''(\omega)$, taken at 13 K with 532 nm excitation, plot in semi-log modes, we compared the results from bulk crystals and MBE thin films. Fig. 1(a) and 1(b) are measured with the RR and RL scattering geometries, respectively (Table II). The dashed lines label the observed phonons as tabulated in Table III. The strong modes at 72 and 174 cm⁻¹ in RR scattering geometry are the bulk A_{1g} phonons of Bi₂Se₃ (Fig. 1(a)), and the strong modes centered at 37 and tar 132 cm⁻¹ in RL are the bulk E_g phonons (Fig. 1(b)), consistent with previous Raman studies [36, 46] and caluse culations [53].

¹⁹⁰ The broad feature at about 330 cm⁻¹ in RR is possi-¹⁹¹ bly due to second-order scattering of the $A_{1g}^{(2)}$ phonon, ¹⁹² broadened due to the large downward dispersion of the ¹⁹³ phonon branch [53]. Similarly, the broad feature ob-¹⁹⁴ served around 300 cm⁻¹ in RL is assigned to two-phonon ¹⁹⁵ excitation, $A_{1g}^{(2)} + E_g^{(2)}$. The broad feature at about ¹⁹⁶ 245 cm⁻¹ (Fig. 1(b), marked by arrow) was previously ¹⁹⁷ assigned to the 2D stretching mode of Se atoms on the ¹⁹⁸ surface [54]. However, we do not observe the reported res-¹⁹⁹ onance effect of this mode with near-infrared excitation ²⁰⁰ (Fig. 2). Notice that this mode energy is also consistent ²⁰¹ with the two-phonon excitation of $A_{1g}^{(2)} + E_g^{(1)}$.

In order to distinguish the broad features from electronic origin, such as excitations from the topological surface states, we compared the results with indium doped Bi_2Se_3 in Fig. 1. Indium doping was shown to increase the carrier density and suppress the topological surface states in Bi_2Se_3 [40, 55]. Here, we collected data from



FIG. 2. (Color online) The signal intensity in the XX scattering geometry, measured at 10 K from a bulk Bi₂Se₃ single crystal, plot in semi-log scale. The blue, green and pink lines corresponds to laser excitation energy of 476, 514 and 780 nm, respectively. Inset: enlarged plot around the $A_1^{(3)}$ mode.

bulk single crystals and MBE grown In₂Se₃/Bi₂Se₃ su-208 perlattices, where indium doping is achieved through diffusion in the superlattices [56]. In all indium doped sam-210 ples, the broad features show the same intensity, sug-211 gesting their origin unrelated to the topological surface 212 states. This feature is slightly weaker in the superlat-213 tice sample #8, despite the first-order phonon modes are 214 ²¹⁵ still sharp and strong. However, this is likely mainly due ²¹⁶ to the indium atom diffusion into the Bi₂Se₃ layer breaks ²¹⁷ the translation symmetry, and therefore further broadens the multi-phonon mode. The indium atom diffusion is 218 ²¹⁹ also supported by the non-negligible intensity of A_{1q} and 220 A_1 symmetry modes present in RL for both superlattice samples (Fig. 1(b), marked by asterisks). The diffused indium atoms lower the local crystal symmetry in the 222 Bi₂Se₃ layers, and therefore allows vibration modes with 223 A_{1g} and A_1 symmetries to appear in the RL geometry, 224 which is otherwise forbidden for the crystal symmetry of 225 $_{226}$ Bi₂Se₃. The small feature at 110 cm^{-1} in RR is due to a ²²⁷ strong phonon of α -In₂Se₃ layers [51] (indicated by arrow 220 in Fig. 1(a)).

In addition to the strong bulk first-order Raman 230 phonons and the broad features, we see some additional 231 232 sharp modes that are about 20 times weaker than the bulk phonons. In Fig. 1(a), two additional sharp fea-233 tures at 136 and $158 \,\mathrm{cm}^{-1}$ are seen in all samples in RR 234 ²³⁵ scattering geometry, labeled $A_1^{(2)}$ and $A_1^{(3)}$, respectively. ²³⁶ In the bulk single crystal sample #14, we observed an $_{237}$ additional mode at about $60\,\mathrm{cm}^{-1},$ which we label as $_{238} A_1^{(1)}$. We associate these three features with vibration 239 modes at the crystal surface, and will be discussed in $_{\rm 240}$ the next section. We also noticed several sharp features $_{241}$ below 50 cm⁻¹ in sample #8 and #10 in RR, which are ²⁴² possibly zone folded phonons. To confirm this requires ²⁴³ further studies, and is beyond the scope of this paper. $_{267}$ which we designate as $A_1^{(4)}$. (2) Another mode centered



FIG. 3. (Color online) The Raman spectra taken with the (a) RR and (b) RL scattering geometry at 13 K from a bulk Bi_2Se_3 single crystal are plotted in semi-log scale. The red and blue curves correspond to instrumental resolution of 2.8 and $0.9 \,\mathrm{cm}^{-1}$ (as shown in (b)), respectively. The bulk phonons are marked by gray dashed lines.

²⁴⁴ In the RL scattering geometry, we observed two weak $_{245}$ features at 67 and $126 \,\mathrm{cm}^{-1}$, labeled $E^{(1)}$ and $E^{(2)}$, re- $_{246}$ spectively (Fig. 1(b)). The energy of these modes are 247 close to the strong bulk phonons, and therefore require ²⁴⁸ higher resolution to distinguish them.

In Fig. 2 are the Raman spectra of the bulk sample 249 ²⁵⁰ at different excitation wavelengths at 10K. The spectra ²⁵¹ were obtained in the XX configuration. As in Fig. 1, $_{252}$ we observe an additional peak at $158 \,\mathrm{cm}{-1}$ which we ²⁵³ refer to as A_1^3 . However, note the Fano line shape of the ²⁵⁴ mode when 780 nm excitation wavelength is used. This $_{255}$ is an indication that the $A_1^{(3)}$ phonon is interacting with 258 a continuum.

258 Figure 3 shows the Raman spectra taken at 13 K with ²⁵⁹ RR and RL scattering geometries on the bulk single crys- $_{260}$ tal #14, where the smoother low resolution $(2.8 \,\mathrm{cm}^{-1})$ $_{261}$ is overlapped with the high resolution $(0.9 \,\mathrm{cm}^{-1})$ spec-262 tra as guide to the eye. Besides the more pronounced $_{263} A_1^{(2)}$ and $A_1^{(3)}$ modes already visible in Fig. 1, we see ²⁶⁴ a few additional features in the high resolution data: ²⁶⁵ (1) A mode centered at $173 \,\mathrm{cm}^{-1}$ appearing as a shoul- $_{266}$ der to the $A_{1g}^{(2)}$ bulk phonon in RR geometry (Fig. 3(a)), ²⁶⁸ at 126 cm^{-1} appearing as a shoulder to the $E_g^{(2)}$ bulk ²⁶⁹ phonon in RL geometry (Fig. 3(b)), which we designate ²⁷⁰ as $E^{(2)}$. (3) The mode $A_1^{(3)}$ shows double peak structure ²⁷¹ separated by about 3 cm^{-1} . This cannot be splitting due $_{272}$ to lowering of symmetry since A_1 is a one-dimensional 273 representation.

To further understand the origin of the observed 274 ²⁷⁵ phonon modes, we measure the Raman response in 4 scattering geometries of the D_{3d} and C_{3v} point group as listed 276 $_{277}$ in Table II (Fig. 4(a)). The intensity contributed by each ²⁷⁸ symmetry channel in different scattering geometries are 279 dictated by the Raman tensors [57, 58] and the results ²⁸⁰ for D_{3d} and C_{3v} groups are listed in Table II. Therefore, ²⁸¹ by obtaining polarized Raman spectra in 4 proper scat-282 tering geometries, we can separate the measured Raman ²⁸³ response from each symmetry channel.

$$\chi_{A1g}''(\omega) + \chi_{A1}''(\omega) = \chi_{XX}''(\omega) - \frac{1}{2}\chi_{RL}''(\omega)$$

$$\chi_{A2g}''(\omega) + \chi_{A2}''(\omega) = \chi_{YX}''(\omega) - \frac{1}{2}\chi_{RL}''(\omega) \qquad (1)$$

$$\chi_{Eg}''(\omega) + \chi_{E}''(\omega) = \frac{1}{2}\chi_{RL}''(\omega)$$

The results are shown in Fig. 4(b). We notice that 284 no lattice vibrational mode is observed in the A_{2q} and 285 A_2 symmetry channels. This is expected since the Ra-286 man tensors for these two channels are antisymmetric, 287 and commonly correspond to pseudo-vector-like excita-288 tions [58–60]. The featureless spectra containing justifies 289 ²⁹⁰ the validity of our symmetry analysis, and also help to ²⁹¹ simplify the interpretation of data obtained in RR ge- $_{\rm 292}$ ometry. Since the signal in A_{2g} and A_2 channels are ²⁹³ negligibly small, we can claim that all vibration modes ²⁹⁴ appearing in RR have either A_{1q} or A_1 symmetry, justifying our mode assignments in Fig. 1 and Fig. 3. 295

The $A_1^{(2)}$ mode happens to have energy very close to 296 ²⁹⁷ the $E_g^{(2)}$ phonon, making it particularly difficult for spectroscopic experiments to distinguish. Here, we utilize the symmetry properties to separately detect them with 299 polarized light. The polarization leakage of optical ele-300 ³⁰¹ ments are precisely measured and removed, and thereby $_{302}$ excluding the possibility of $A_1^{(2)}$ being a trivial polariza-303 tion leakage from the $E_q^{(2)}$ phonon.

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DISCUSSION IV.

At the crystal surface of Bi_2Se_3 , the lattice structure is 306 distorted along c-axis due to the abrupt reduction of the 307 interlayer van der Waals force that binds the crystal to-308 gether. The surface distortion was calculated by density 331 309 310 311 $_{312}$ two-dimensional electron gas formed on Bi₂Se₃ surface is $_{334}$ in the A_1 symmetry (C_{3v} group), corresponding to out-313 consistent with the subsurface van der Waals gap expan- 335 of-plane atomic motion. This is consistent with the 4 $_{314}$ sion [6, 63, 64]. As the lattice is distorted, the frequencies $_{336}$ A_1 modes we observed (Fig. 3(a)). From the energies



FIG. 4. (Color online) (a) The Raman spectra taken with all 4 scattering geometries at 13 K from a Bi₂Se₃ thin film, plot in semi-log scale. (b) The Raman response of different symmetry channels, obtained from data in (a). The bulk phonons are marked by dashed lines, whereas the surface modes are indicated by arrows and shaded in red.

315 of atomic vibration modes at the surface are usually modified to a smaller value than in the bulk at the Brillouin 316 zone center (Γ point) [25]. If there is a gap in the phonon ³¹⁸ density-of-state (DOS) and with large enough distortion, ³¹⁹ the surface phonon DOS can be entirely separated from ³²⁰ the bulk [22, 25]. Such modes are long lived and localized ³²¹ at the surface, where the dispersion can be quite different 322 than the bulk.

In Bi_2Se_3 , there are some residual phonon DOS in the entire energy range [53], and the surface modes can decay 324 325 into bulk phonon modes. If the frequency shift is small, where the DOS of the surface mode is not entirely sep-³²⁷ arated from the bulk, then strictly speaking a localized ³²⁸ surface mode does not exist. Instead, one would only expect a "surface resonance", which is only distinguishable 329 ³³⁰ from the bulk phonon by the slightly lower energy.

Due to inversion symmetry breaking at the crystal infunctional theory (DFT) to be about 10% along c-axis, $_{332}$ terface, the surface resonance from the Raman active A_{1q} and depicted in Ref. 61. Additionally, the observation of $_{333}$ and IR active A_{2u} phonons are both expected to appear

TABLE III. Summary of the phonon mode energies of Bi₂Se₃ measured in the current Raman scattering experiment, and previous studies reported in Ref. 35, 36, 47, and 61. Calculated results from two references [53, 62] are also listed for comparison. All values are given in units of $\rm cm^{-1}$.

	Experiment		Calculation		
Symmetry	This work	Literature	-	LDA+SOI [53]	GGA+SOI [62]
$A_{1g}^{(1)}$	75	73 [<mark>36</mark>]		77	64
$A_{1g}^{(2)}$	180	175 [<mark>36</mark>]		176	167
$E_{g}^{(1)}$	39	39 [<mark>36</mark>]		41	39
$E_{g}^{(2)}$	137	133 [<mark>36</mark>]		139	124
$A_{2u}^{(1)}$	_	N/A		139	137
$A_{2u}^{(2)}$	_	N/A		161	156
$E_u^{(1)}$	_	61 [47]		80	65
$E_u^{(2)}$	_	133 [47]		131	127
$A_1^{(1)}$	60	68 [61]		N/A	N/A
$A_1^{(2)}$	136	129 [<mark>36</mark>]		N/A	N/A
$A_1^{(3)}$	158	$160 \ [35, \ 36]$		N/A	N/A
$A_1^{(4)}$	173	N/A		N/A	N/A
$E^{(1)}$	67	68 [<mark>36</mark>]		N/A	N/A
$E^{(2)}$	126	125 [36]		N/A	N/A

³³⁷ of these A_1 modes, we conclude that $A_1^{(1)}$ and $A_1^{(4)}$ are ³³⁸ associated with the bulk phonon modes $A_{1g}^{(1)}$ and $A_{1g}^{(2)}$, ³³⁹ respectively. The measured energy of the $A_1^{(1)}$ mode is ³⁴⁰ somewhat different than the previously reported value of ³⁴¹ 68 cm⁻¹ by time resolved ARPES in Ref. 61. This may be ³⁴² partly due to surface quality variation. ARPES measured ³⁴² and $A_{1g}^{(2)}$, ³⁴³ $A_{1g}^{(2)}$, ³⁴⁴ $A_{1g}^{(2)}$, ³⁴⁵ face. In particular, the double peak line shape of the $A_{1}^{(3)}$ ³⁴⁶ phonon mode was overlooked in previous Raman studies ³⁴⁷ and may be related to the 20 meV "kink" in the topolog-³⁴⁸ are state's energy dispersion curve reported by ³⁴⁹ ARPES measurements [33, 34]. ³⁴³ sample is usually cleaved in ultra high vacuum, whereas ³⁷⁴ On the other hand, while the bulk phonons show little the surface in this study is cleaved in nitrogen environ- $_{375}$ resonance effect, the $A_1^{(3)}$ phonon displays antisymmet- $_{375}$ ment. This may also explain why this mode was never $_{376}$ ric line shape with near-infrared excitation (Fig. 2, inset). ³⁴⁶ observed in the thin film samples (Fig. 1), where the ³⁷⁷ This suggests that there are surface electronic DOS that 347 sample is unavoidably exposed to air for a few minutes 378 appear under resonance excitation with near-infrared ³⁴⁸ during the transfer between MBE chamber and Raman ³⁷⁹ wavelength [65, 66], which interacts with the $A_1^{(3)}$ phonon ³⁴⁹ cryostat. The $A_1^{(4)}$ mode appears as a shoulder to the ³⁵⁰ $A_{1g}^{(2)}$ bulk phonon, requiring higher resolution to distin-³⁸¹ Since the in-plane symmetries are mainly preserved as ¹⁹ guish from the bulk mode, and therefore was overlooked ₃₈₂ the DFT calculated atomic surface distortion is purely $_{352}$ in the previous Raman study [36].

In comparison, the surface modes $A_1^{(2)}$ and $A_1^{(3)}$ have higher intensity and are better resolved. One possibility 353 354 for this difference is that the bulk counterpart of these 355 ³⁵⁶ modes are the IR active $A_{2u}^{(1)}$ and $A_{2u}^{(2)}$ phonons, as the ³⁵⁷ measured energy is close to the calculated values (Table III). Since these bulk modes are Raman inactive, we were able to better resolve the surface resonance. An-359 other possibility is that the phonon DOS is practically 360 zero at these energies in the A_1 symmetry channel, and the surface vibration modes are truly localized. Distin-362 ³⁶³ guishing these two scenarios is in fact experimentally non-trivial, especially since the experimental values of $_{365}$ the $A_{2u}^{(1)}$ and $A_{2u}^{(2)}$ bulk phonon energies are yet unknown. 367 gin of these two modes, which may provide us with in-

383 out-of-plane [61], one would not expect any surface $_{\rm 384}$ phonon with E symmetry ($C_{\rm 3v}$ group) for Bi_2Se_3. How-385 ever, the in-plane bonding potential is also modified by $_{386}$ having distortion along *c*-axis, and therefore the phonon ³⁸⁷ frequency at surface is still slightly different than the bulk 388 value. If the modification is tiny, the E modes are ex-389 pected to be weak and close to the bulk phonons. In ³⁹⁰ Fig. 1(b) and Fig. 3(b), we can see hints of two addi-³⁹¹ tional modes, labeled by $E^{(1)}$ and $E^{(2)}$. The energies of ³⁹² these modes, are in fact close to the measured values of ³⁹³ $E_u^{(1)}$ and $E_u^{(2)}$ bulk phonons [45, 47], and are consistent ³⁹⁴ with the previous Raman study [36] (Table III). However, ³⁹⁵ the frequency of E_1 is slightly higher than $E_u^{(1)}$, which is ³⁹⁶ against the expectation from a surface resonance. This ³⁹⁷ may reflect the fact that this is an in-plane mode, orthog-Nevertheless, both possibilities point to the surface ori- 398 onal to the lattice distortion direction. Or, this may be ³⁹⁹ indicative of non-trivial electron-phonon interaction with

⁴⁰⁰ the surface states, and worth further studying.

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CONCLUSION V.

In conclusion, we have done a systematic symmetry 402 ⁴⁰³ analysis on the Raman spectra from high quality, freshly cleaved or grown ab surfaces of Bi_2Se_3 single crystal and 404 405 thin films. We observed 4 out-of-plane and possibly 2 in-plane surface vibrational modes. The much larger intensity for the out-of-plane vibration modes is consistent 407 408 with *c*-axis lattice distortion and van der Waals gap ex- $_{409}$ pansion calculated for Bi₂Se₃ crystal surface [61, 64]. The ⁴¹⁰ energies of the $A_1^{(1)}$ and $A_1^{(4)}$ modes are close to the bulk ⁴¹¹ A_{1g} phonons. Due to the smallness of calculated crys- $_{412}$ tal surface distortion [61] and the lack of phonon DOS ⁴¹³ gap [53], these modes are likely not fully localized or sep- $_{\scriptscriptstyle 414}$ a rated from bulk phonon DOS. However, the $A_1^{(2)}$ and A_{15} $A_1^{(3)}$ modes are much stronger and sharper compared to A_{34} X.W. acknowledge support from NSF Award DMREF-416 the other vibration modes, and may be candidates of 435 1233349.

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417 localized surface phonons. In particular, we found an $_{418}$ anomalous double peak and Fano lineshape for the $A_1^{(3)}$ ⁴¹⁹ mode, both in low doped Bi₂Se₃ single crystals. The 420 Fano lineshape is usually indicative of electron-phonon ⁴²¹ coupling, important for understanding the relaxation and ⁴²² scattering of surface state excitations. Here, we found 423 that the Fano lineshape is dependent of the excitation energy, which may be able to explain the inconsistent 424 425 surface electron-phonon coupling constant found in previous ARPES studies [19, 31]. 426

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